



Is Your 401(k) Plan Still Working?

A simple annual review can dramatically improve outcomes.

Investment Review

- Performance vs. benchmarks
- Expense ratios
- Watchlist decisions

Fee & Service Review

- Total plan cost
- Provider value vs. cost
- Benchmark comparisons

Participant Metrics

- Participation rate
- Average savings rate
- Loan and withdrawal trends

Compliance Review

- ADP/ACP testing
- Required notices
- Form 5500 status



Plan Design Review

- Auto features effectiveness
- Match competitiveness
- Alignment with business goals

Deliverables

- Documented meeting notes
- Action items
- Updated fiduciary file



Ready to See Your Plan from a New Perspective?

Regular reviews help reduce costs and improve outcomes.